Assetline Finance

ASSETLINE FINANCE PLC

No 120,120A, Pannipitiya Road, Battaramulla

CUSTOMER DUE DILIGENCE (CDD)
DATA COLLECTION FORM INDIVIDUAL

..... Branch

As required under the Financial Institutions (Customer Due Diligence) Rules, No. 01 of 2016 issued by the Financial Intelligence Unit of Central Bank of Sri Lanka in terms of Section 02 of the Financial Transactions Reporting Act, No 06 of 2006

Account No (s)

Date D D M M Y Y Y Y

Client Code

Branch

PERSONAL DETAILS

Full Name : MR/MRS/MISS/DR/REV

(Please underline surname

National Identity Card No (NIC) Date of Birth:

(Indicate valid passport number in the case of foreign nationals)

Nationality

☐ Sri Lankan ☐ Resident ☐ Non Resident - Country of Residence .....

☐ Sri Lankan with Dual Citizenship Country .....

☐ Foreign National with dual citizenship / resident in or employed in Sri Lanka

Country..... Visa Expiry Date .....

CONTACT INFORMATION

Permanent address

Mailing address

Foreign address

Contact No: Mobile: Office: Fax: Email:

EMPLOYMENT INFORMATION

Employment status	☐	Self employed	☐	Part-time employed	☐	Retired
	☐	Full-time employed	☐	Not currently employed	☐	Others (Specify) .....
Occupation / Position held						
Name of the employer						
Address of the employer						
Nature of business	☐	Manufacturing	☐	Import/Export	☐	Hotel/Boarding house
	☐	Finance/Insurance	☐	Wholesale	☐	Gem and Jewelry
	☐	Construction	☐	Communication	☐	Casino / Gambling house / Night Clubs
	☐	Retail	☐	Business Service	☐	Personal & Household Service
	☐	Transport	☐	Real Estate	☐	Others (Specify) .....
	☐	Restaurants	☐	Public Services	☐	

FAMILY INFORMATION

Marital status	☐ Married	☐ Single	☐ Other
Name of spouse			
Spouses occupation/ Position held			
Spouses employer			
No. of children (Dependant)			

OTHER INFORMATION

Ownership of wealth (If property is on rent/ Lease, please indicate)	☐	Residential property	☐	Financial assets
	☐	Business premises	☐	Investments
	☐	Motor Vehicles	☐	Other (Specify)
Source of wealth: Wealth gererated from	☐	Business/ Ownership	☐	Inheritance
	☐	Investments	☐	Others (Specify) .....
	☐	Profession/ Employment		

Other connected business/ Professional activities and interest

Are you or any of your immediate family is a politically exposed person (Pep)? (Refer definition below) ☐ Yes ☐ No

If yes please specify

FIU Definition

Individuals in Sri lanka or abroad who are or have been entrusted with prominent piblic functions. E.g.

PEPs (Politically Esposed Persons)

Head of State or government, Senior politicains, Senior government, judicial or military officials, senior executives of state owned corporations, important political party officials.

Immediate Family Member - Includes the spouse, children and their spouses or parents, sibilings and their spouses and grandchildren and their spouses.

EXPECTED MODE OF TRANSACTIONS

☐ Cash ☐ Cheque ☐ Electronic Fund Transfer ☐ Other (Please Specify) .....

PURPOSE FOR OPENING, MAINTAINING AND THE ACCOUNT USAGE

☐ Savings	☐ Utility Bill Payment	☐ Loan Repayment
☐ Investment Purpose	☐ Business Transactions	☐ Share Transaction
☐ Employment/ Professional Income	☐ Family Remittance	☐ Other .....

Foreign Passport holders (Dual Citizens / Resident in or Employed in Sri Lanka)
(Please give the reason for opening the account in Sri Lanka)

SOURCE OF FUNDS EXPECTED SOURCE AND NATURE OF CREDITS INTO THE ACCOUNT (AS APPROPRIATE)

☐ Family Remittances	☐ Commission Income	☐ Contract Proceeds	☐ Sale/ Business Turnover
☐ Investment Proceeds	☐ Sale of property/assets	☐ Gift	☐ Salary/ Profit Income

☐ Others (Please specify) .....

AVERAGE MONTHLY INCOME

☐ Less than 50,000	☐ 100,001 to 250,000	☐ 500,001 to 1,000,000
☐ 50,001 to 100,000	☐ 250,001 to 500,000	☐ More than 1,000,000

ANTICIPATED VOLUMES : EXPECTED/USUAL AVERAGE VOLUMES OF DEPOSITS INTO THE ACCOUNT IN RUPEES PER MONTH

* Expected / Usual average volumes of deposits into the account in rupees per month

☐ Less than 100,000	☐ 500,001 to 1,000,000	☐ 5,000,001 to 10,000,000
☐ 100,001 to 500,000	☐ 1,000,001 to 5,000,000	☐ More than 10,000,000

DECLARATION OF THE CUSTOMER

I/We hereby grant my consent for the collection, processing, and use of my personal data for the purpose of processing and maintaining a Fixed Deposit Account - Individual & Joint, Customer due diligence, account administration, regulatory reporting, and verification purposes, and to communicate information on products, services, and value-added offerings in accordance with the Personal Data Protection Act, No. 9 of 2022 Sri Lanka and the Data Protection Policy of Assetline Finance PLC.

I confirm that the details given above are true and correct.

.....
Signature Date:.....

MANDATORY CHECKS (FOR OFFICE USE ONLY)

1. Name, Date of Birth and Nationality Veritification: To be supported by one of the following.

☐ National Identity Card	☐ Passport (Unexpired)
☐ Driving License	☐ Marriage Certificate (Name Change)

2. Address Verification: Residential address to be supported by one of the following accepted documents
(N.B - Mobile phone bills are not accepted)

☐ National Identity Card	☐ Bank Statement (Within 3 Month)	☐ Letter from a public authority
☐ Tenancy agreement	☐ Utility bill (Specify)	☐ Income Tax Receipt/ Assessment Notice
☐ Driving License	☐ Employment Contract	☐ Other (Specify) .....

☐ Any Other Identification Document

(Photocopies of the above documents should be obtained and certified the Company Officer asOriginal Seen)

3. Does the customer appear in a Suspected Terrorist List (Sanction List - UNSCR 1373 / 1267) or any other Alert List:

☐ Yes ☐ No ☐ If yes(Specify):.....

4. If customer is opening account at a branch that is away from theire permanent address, please mention the reason.....

Documents	Reviewed by..... (Signature)	Emp No	
	Authorized by..... (Signature)	Emp No	

SYSTEM ENTRY

.....	.....	.....	.....
Input by	Checked by	Activated by	Scanned by

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